Amendments to the Drawings:

No amendments are made to the Drawings herein.

REMARKS

Claims 1-33 stand rejected under 35 U.S.C. 103(a) as being unpatentable over Hawkins et al. (US 5,497,317) in view of Cornelius et al. (US 6,629,081). Applicant respectfully asks the Examiner to reconsider this rejection in view of the following Remarks.

First, Applicant points out that even assuming that all of the statements make by the Examiner were completely accurate (which Applicant believes is not the case for the reasons discussed below), the Examiner would still only have made a prima facie showing of unpatentability of Claim 1. This is true because only Claim 1 is limited to the elements which the Examiner contends are disclosed, taught or suggested by the cited prior art.

Each of Claims 2-11, which depend either directly or indirectly from Claim 1, add further limitations thereto. As such, the requirements of these claims must be considered because it is improper to fail to consider any limitation in the claims. In re Geerdes, 491 F.2d 1260, 1262, 180 U.S.P.Q. 789, the 791 (CCPA 1974) ("every limitation in the claim must be given effect rather than considering one in isolation from the others"). The Examiner has made no prima facie showing that the elements of these dependent Claims are disclosed, taught or suggested by any prior art reference, and indeed Applicant respectfully submits that the Examiner cannot do so, as these claims all distinguish the prior art.

Moreover, each of independent Claims 12, 18 and 22 require elements which the Examiner has apparently not considered, and with respect to which the Examiner has made absolutely no prima facie showing of unpatentability. For example, independent Claim 12 (and consequently Claims 13-17 which depend

therefrom) of the present application requires, among other elements, the following:

> ...software executing on said computer for retrieving from said database a first trading party profile based upon the first trading party identification and upon trade criteria and a second trading party profile based upon the second trading party identification and upon trade criteria;...

(emphasis added). These highlighted elements are important in that, as discussed in detail in Paragraph [0035] of the present application, such a design allows streamlined access to multiple trading party profiles for each party and selection of the specific trading party profile required based upon attributes of the trade itself. However, none of the cited prior art discloses, teaches or suggests in any way (and in fact the Examiner does not cite any prior art as disclosing, teaching or suggesting in any way) that trading party profiles can be retrieved based upon the trading party identification and upon trade criteria.

In addition, independent Claim 18 (and consequently Claims 19-21 which depend therefrom) of the present application requires, among other elements, the following:

> ...software executing on said computer for retrieving from said database a first trading party profile based on the first trading party identification and a second trading party profile based on the second trading party identification, at least one of said first trading party profile and said second party profile comprising an indication for a timer to be set and an indication of a specified time period for the timer;... and
>
> software executing on said computer for generating and transmitting, if no match is found to exist within the specified time period for the timer, a notification to at least one of the first trading party and the second trading party indicating that no match has been found to exist.

(emphasis added). However, again, none of the cited prior art discloses, teaches or suggests in any way (and in fact the Examiner does not cite any prior art as

disclosing, teaching or suggesting in any way) such a timer indication or period specified in the trading party profile, and/or the use of such a timer in determining when and if to send a notification.

Furthermore, independent Claim 22 (and consequently Claims 23-33 which depend therefrom) of the present application contains all of the highlighted elements discussed above with respect to independent Claims 12 and 18.

Thus, all of Claims 2-33 require material elements which the Examiner has not cited as being disclosed, taught or suggested by any of the cited prior art, thereby failing to make a prima facie showing of unpatentability with respect to these claims.

With respect to independent Claim 1, this claim requires, among other limitations, the following:

> ...said first trading party profile comprising an indication of enrichment options for said first trading party and said second trading party profile comprising an indication of enrichment options for said second trading party;
>
> a plurality of enrichment databases having enrichment data stored thereon; and
>
> software executing on said computer for enriching said trade execution information with enrichment data retrieved from said plurality of enrichment databases in accordance with the enrichment options of the first trading party profile and for enriching said trade allocation information with enrichment data retrieved from said plurality of enrichment databases in accordance with the enrichment options of the second trading party profile.

(emphasis added). However, Applicant respectfully submits that neither of the prior art references cited by the Examiner disclose, teach or suggest in any way at least the above-highlighted elements.

With respect to Hawkins, the Examiner has explicitly recognized that this reference does not disclose the highlighted elements, and instead cites Hawkins as disclosing various other elements of Claim 1. Instead, the Examiner cites Cornelius as disclosing the above-highlighted elements. Applicant respectfully disagrees.

Cornelius discloses a system, method and article of manufacture are for account settlement utilizing a network. First, a buyer is allowed to select from a group of options in order to settle an account utilizing a network. The options include settling a minimum balance, partially settling, settling a full balance, and applying for an import loan on payment due date. The selected option is then received utilizing the network. Finance interest may then be booked against the buyer for an unpaid portion of the account if the selected option includes either settling a minimum balance or partially settling. If the selected option includes settling a full balance, the account may be reconciled. On the other hand, if the selected option includes applying for an import loan on payment due date, an import loan may be booked and a credit line may be transferred to a trade loan line. However, Applicant cannot locate any disclosure whatsoever in Cornelius of trading party profiles which include an indication of enrichment options, enrichment databases having enrichment data stored thereon, and/or software for enriching trade information with enrichment data retrieved from the enrichment databases in accordance with the enrichment options of the trading party profiles.

Applicant respectfully submits that the portions of Cornelius cited by the Examiner disclose nothing which could even be reasonably argued as being equivalent to the above-highlighted elements of Claim 1. Specifically, the Abstract (paraphrased above) and column 3, lines 31-54 provide a concise description of

operation of the Cornelius system overall, column 11, lines 29-54 briefly set forth an exemplary hardware platform for the Cornelius system, and column 27, lines 30-63 describe characteristics of the markets in which the Cornelius system is preferably used. Applicant respectfully submits that trading party profiles which include an indication of enrichment options, enrichment databases having enrichment data stored thereon, and/or software for enriching trade information with enrichment data retrieved from the enrichment databases in accordance with the enrichment options of the trading party profiles are not even hinted at. In view of the complete irrelevance of the cited portions of Cornelius, Applicant suggests that perhaps the Examiner meant to cite corresponding columns and lines of some other prior art reference?

In any event, in view of the above, Applicant respectfully submits that since neither Hawkins nor Cornelius discloses, teaches or suggests in any way any of the above-highlighted elements of Claim 1, no combination of the references could anticipate or render obvious this claim.

Applicant is unclear as to whether the Examiner is maintaining his previous provisional rejection of Claim 1-33 under the judicially created doctrine of obviousness-type double patenting over Claims 1-56 of copending Application No. 09/504,803. If the Examiner is so maintaining this provisional rejection, Applicant respectfully asks the Examiner to reconsider same.

Applicant respectfully submits that the double patenting rejection is improper in that the two "conflicting" applications do not claim the same invention or an obvious variant thereof. More specifically, all of Claims 1-33 of the present application require elements which are not disclosed, taught or suggested by any claim of Application No. 09/504,803.

Claims 1-11 of the present application all require, among other elements, the following:

> ...said first trading party profile comprising an indication of enrichment options for said first trading party and said second trading party profile comprising an indication of enrichment options for said second trading party;
>
> a plurality of enrichment databases having enrichment data stored thereon; and
>
> software executing on said computer for enriching said trade execution information with enrichment data retrieved from said plurality of enrichment databases in accordance with the enrichment options of the first trading party profile and for enriching said trade allocation information with enrichment data retrieved from said plurality of enrichment databases in accordance with the enrichment options of the second trading party profile.

(emphasis added). However, none of the claims of Application No. 09/504,803 disclose, teach or suggest in any way such enrichment options contained in the trading party profiles, and/or the use of such enrichment options for enriching the trade execution information or the trade allocation information.

Claims 12-17 of the present application all require, among other elements, the following:

> ...software executing on said computer for retrieving from said database a first trading party profile based upon the first trading party identification and upon trade criteria and a second trading party profile based upon the second trading party identification and upon trade criteria;...

(emphasis added). These highlighted elements are important in that, as discussed in detail in Paragraph [0035] of the present application, such a design allows streamlined access to multiple trading party profiles for each party and selection of

the specific trading party profile required based upon attributes of the trade itself. However, none of the claims of Application No. 09/504,803 disclose, teach or suggest in any way that trading party profiles can be retrieved based upon the trading party identification and upon trade criteria.

Claims 18-21 of the present application all require, among other elements, the following:

...software executing on said computer for retrieving from said database a first trading party profile based on the first trading party identification and a second trading party profile based on the second trading party identification, at least one of said first trading party profile and said second party profile comprising an indication for a timer to be set and an indication of a specified time period for the timer;... and

software executing on said computer for generating and transmitting, if no match is found to exist within the specified time period for the timer, a notification to at least one of the first trading party and the second trading party indicating that no match has been found to exist.

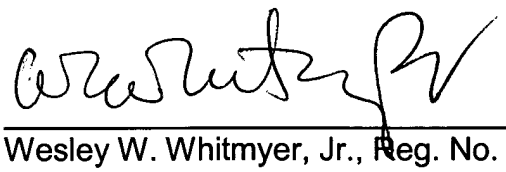
(emphasis added). However, again, none of the claims of Application No. 09/504,803 disclose, teach or suggest in any way such a timer indication or period specified in the trading party profile, and/or the use of such a timer in determining when and if to send a notification.

Claims 22-33 of the present application contain all of the highlighted elements discussed in all three of the above-identified groups of claims.

Thus, all claims include elements which are not contained in or in any way obvious in view of any claim of Application No. 09/504,803. As such, Applicant respectfully requests that the double patenting rejection be withdrawn.

For the foregoing reasons, Applicant respectfully submits that all pending claims, namely Claims 1-33, are patentable over the references of record, and earnestly solicits allowance of the same.

Respectfully submitted,



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